



Boston Merchant Financial

TRADING AGENT INFORMATION FORM

GENERAL INFORMATION

Firm/Individual Name: _____

Name of Contact: _____

Date of Incorporation: ____/____/____ Origin of Formation: _____

CONTACT INFORMATION

Street Address: _____ Apt. / Suite: _____

City: _____ Country: _____ Postal: _____

Phone (Business): _____ Phone (Fax): _____

Website: _____ Nature of Business: _____

E-mail: _____

BANK INFORMATION

Bank Name: _____

Bank Address: _____

Account #: _____ ABA or SWIFT: _____

Beneficiary Name: _____

TRADING AGENT QUESTIONNAIRE

To better assist us in serving the needs of our Clients and their Trading Agents, we request certain information about your business. Please assist us by providing the information requested below. Please circle a response to "Yes / No" questions. If certain items do not apply, please mark those items "N/A," sign and date the form.

PLEASE PRINT OR TYPE

1. Firm Name/Individual Name: _____

2. Date of Birth (Individual or principles of the Firm authorized to trade):

3. What type of entity is your firm:

4. How many offices do you have? _____. Please list location for each branch.

5. Please list key employees and areas of responsibility:

6. Are you registered with any regulatory authorities? If so, please list and provide any regulatory identification numbers.

7. Number of salesmen associated with the entity? _____

8. Number of accounts: _____. How many of these accounts are (please provide percentages, with each "vs." totaling 100%; so, for example, 90% speculative vs. 10% hedge):

____ Speculative	vs.	____ Hedge
____ Retail	vs.	____ Institutional
____ Self-directed	vs.	____ Managed
____ Proprietary	vs.	____ Customer

9. Is the firm actively soliciting new customers? Yes / No. If yes, what countries does your firm primarily solicit? If so, where?

10. If soliciting, how do you solicit?

- Does the firm utilize lead lists? Yes / No. Are they purchased or provided free of charge? What entities are lead lists obtained from? How does this entity compile leads?

- Do you use promotional material? Yes / No. What types? (Print ads, TV / radio, newsletters, direct mail); other?

11. How many customer complaints have you received in the past two years? ____ What types of complaints were received (what was each customer alleging)?

12. Has the firm received any customer complaints that allege excessive trading in discretionary accounts? Yes / No. Any complaints that allege unauthorized trading? Yes / No.

13. Generally describe below and attach hereto documentation regarding any and all disciplinary actions taken by any regulatory agencies.

I hereby swear or affirm that the information provided herein is known to be true or, based on my best information, is believed to be true.

Printed Name

Date

Title

Signature

Firm

TRADING AGENT AGREEMENT

I, _____, the undersigned and hereinafter referred to as the "Trading Agent" do hereby agree to the terms and conditions set forth below applicable to all client accounts I manage Boston Merchant Financial, Ltd. (BMFN) through a Limited Power of Attorney.

Commissions charged by the Trading Agent will be calculated on a 'round turn' basis. Payment of such commissions will be made to the Trading Agent within 5 business days following the end of each month, unless otherwise agreed upon, for all lots closed by 5 pm EST on the last trading day of a calendar month. Any commissions due on open positions will carry forward to the subsequent month. Profit Sharing will be calculated and paid on a net high watermark basis at the rate agreed upon by the client and Trading Agent within the executed Limited Power of Attorney.

BMFN diligently supervises and monitors the activities of all managers acting as Trading Agents. As a result, BMFN, in its sole discretion, shall monitor the activities of the Trading Agent to ensure the prevention of misconduct, which shall include, but not be limited too, unauthorized trading, fraud, mismanagement, breach of fiduciary duty and churning. For purposes of this subparagraph 'churning' is defined as any form of excessive trading being conducted for the purposes of increasing Trading Agent's commissions, rather than to further the customer's investment goals. Upon the finding of misconduct, as reasonably determined by BMFN, any and all commissions, fees and/or profit sharing due to the Trading Agent maybe withheld in escrow for a period not to exceed six (6) months. Withheld funds can and will be used to satisfy any customer claims received as a result of misconduct on the part of the Trading Agent. The Trading Agent has a duty to inform BMFN of any customer complaints and/or claims. If, after a six (6) month period, no claims have been received by BMFN or Trading Agent, the funds will then be paid to Trading Agent. Should a claim be received prior to expiration of the six (6) month holding period, such a claim will be assessed by the Legal & Compliance Department of BMFN for validity, such a process may result in funds being held longer then six (6) months. Trading Agent indemnifies and holds BMFN, its affiliates, employees, agents, successors and assigns harmless from and against any and all liabilities, losses, damages, costs and expenses, including attorney's fees, incurred as a result of commissions, fees and/or profit sharing withholdings due to a finding by BMFN of misconduct by the Trading Agent and receipt of a customer claim against BMFN and/or Trading Agent prior to the expiration of the six (6) month withholding period. BMFN shall not be held liable or responsible for any miscalculation of any fees, commissions, or profit-sharing.

BMFN may revoke or terminate the Trading Agent's trading authority over a Client's account at any time for any reason in its sole discretion. In the event BMFN terminates the Trading Agent's trading authorization over the Client's account, BMFN will provide written notice to both the Client and the Trading Agent.

Trading Agent certifies that he/she/they understand and agree to all terms and conditions of the foregoing Trading Agent Agreement.

Acknowledged and Accepted:

Trading Agent's Name

Trading Agent's Signature

Date